

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LIGHT2180
Client Matter Number	2101501-165603
First Inventor or Application Identifier:	Wenhua Lin
Title:	Compact Optical Equalizer
Express Mail Label No.:	EL617042791US

Application Elements
(See MPEP chapter 600 concerning utility patent application contents)

1. ☐ Fee Transmittal Form
(Submit an original, & duplicate for fee processing)

2. ☒ Applicant claims small entity status

3. ☒ Specification [Total Pages 24]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total sheets 16]

5. ☒ Unsigned Declaration [Total Pages 3]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

i. ☐ Deletion of Inventor(s)
Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner For Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power Of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS/PTO 1449) ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment (____ pgs.)

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Express Mail Certification

17. ☐ Request And Certification under 35 USC 122(b)(2)(B)(i)
Applicant must attach form PTO/SB/35 or its equivalent

18. ☐ OTHER: Check # _____ (\$ _____)

17 If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-In-Part of prior application no.: ____ / ____
Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

☒ Customer Number (25548)
Or Bar Code Label

☐ Correspondence Address Below

NAME	ATTN: Terrance A. Meador GRAY CARY WARE & FREIDENRICH		
ADDRESS	4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133		
Direct Telephone: 858/638-6747		Patent Group Fax No.: 858/638-6727	
Name (print/type)	Terrance A. Meador	Registration No.: (Attorney/Agent)	30,298
Signature		Date	November 5, 2001

Gray Cary\GT\6263937 1

EL617042791US
"EXPRESS MAIL" MAILING LABEL NO. _____

DATE OF DEPOSIT: November 5, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MARCIA WHITTIER

NAME

Marcia Whittier

SIGNATURE